

**African Union/  
African Union Institute for Statistics (Au-  
STATAFRIC)**

**Harmonizing and Improving Statistics in West  
and Central Africa - Series of Projects One  
(HISWACA-SOP<sub>1</sub>) (P178497)**

**Negotiated Version**

**ENVIRONMENTAL AND SOCIAL  
COMMITMENT PLAN (ESCP)**

**21 March 2023**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The African Union (the Recipient) shall implement the Harmonizing and Improving Statistics in West and Central Africa – Series of Projects One (HISWACA-SOP1) (P178497) Project (the Project), with the involvement of the African Union Institute for Statistics (AU-STATAFRIC), as set out in the Financing Agreement. The International Development Association (the Association) has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through African Union Institute for Statistics (AU-STATAFRIC) and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient, Chairperson of the African Union Commission or his designate. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).</p>	<p>Submit quarterly Association throughout Project implementation, commencing after the Effective Date. Submit each report to the Association no later than 15 days after the end of each reporting period.</p>	<p>Project implementation team within the African Union Institute for Statistics (STATAFRIC) (Project Implementation Team or PIT)</p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident, and no later than 24 hours for fatalities and SEA/SH incidents.</p> <p>Provide a subsequent report to the Association within a timeframe acceptable to the Association</p>	<p>PIT</p>
C	<p><b>CONTRACTORS’ MONTHLY REPORTS</b></p> <p>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Association.</p>	<p>Submit the monthly reports to the Association as annexes to the reports to be submitted under action A above.</p>	<p>PIT Contractors</p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Establish and maintain a Project Implementation Team (PIT) with qualified staff and resources to support management of ESHS risks and impacts of the Project including a social specialist as part of the PIT and an environmental consultant. The Terms of Reference (TORs) and qualifications of candidates shall be subject to the Association’s no objection.</p>	<p>Establish and maintain a PIT as set out in the Financing Agreement. Hire or appoint a social specialist no later than three months after Effective Date, and thereafter maintain these positions throughout Project implementation. Hire or appoint an environmental consultant on an as needed basis.</p>	<p>African Union Institute for Statistics (STATAFRIC)</p>
1.2	<p><b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b></p>		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>1. Adopt and implement an Environmental and Social Management Plan (ESMP) for the Project activities for which the ESMP is required, consistent with the relevant ESSs.</p> <p>2. Adopt and implement Umbrella-Environmental and Social Management Framework (U-ESMF) for the Project prior to Effective Date, consistent with the relevant ESSs.</p>	<p>1. Prepare, disclose, consult upon, and adopt the ESMP prior to the start of Project activities, consistent with the ESSs and the U-ESMF and thereafter implement the ESMP throughout Project implementation.</p> <p>2. The final U-ESMF shall be disclosed prior to Effective Date, and thereafter implement the U-ESMF throughout Project implementation.</p>	PIT
1.4	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, studies (including feasibility studies), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout Project implementation.	PIT
1.5	<p><b>DATA PROTECTION</b></p> <p>In line with the U-ESMF and the ESMP, concerning activities that involve collecting Personal Data, the Recipient shall adopt and implement relevant measures, including inter alia, data minimization (collecting only data that is necessary for the purpose); data accuracy (correct or erase data that are not necessary or are inaccurate); use limitations (data are only used for legitimate and related purposes); data retention (retain data only for as long as they are necessary); informing data subjects of use and processing of data; and allowing data subjects the opportunity to correct information about them. The Recipient shall ensure that these principles apply through assessments of existing or development of new data governance mechanisms and data standards for processing Personal Data in connection with the Project by parties involved in Project implementation in accordance with good international practice and acceptable to the Association, including assessment or development of data sharing protocols, rules or regulations, revision of relevant regulations, training on personal data protection etc.</p>	During Project implementation in line with the ESMP, and the U-ESMF.	PIT
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>1. Adopt and implement an Umbrella-Labor Management Procedure Framework (U-LMPF) for the Project prior to Appraisal, consistent with the relevant ESS.</p> <p>2. Adopt and implement the Labor Management Procedures (LMP) for the Project, as per the approved and disclosed umbrella-Labor Management Procedures Framework (U-LMPF) including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	<p>1. The final U-LMPF shall be approved and disclosed prior to Project Effective Date and thereafter implement the U-LMPF throughout Project Implementation.</p> <p>2. Prepare, disclose, consult upon, adopt the LMP in line with the U-LMPF prior to hiring Project workers and thereafter implement the LMP and implement the LMP throughout Project implementation.</p>	PIT
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	Establish grievance mechanism as per the LMP prior engaging Project workers and thereafter maintain and operate it throughout Project implementation.	PIT
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p><b>WASTE MANAGEMENT PLAN</b></p> <p>Adopt and implement a Waste Management Plan (WMP), to manage hazardous and non-hazardous wastes, consistent with ESS3.</p>	Adopt the WMP as part of the ESMP, and thereafter implement the WMP throughout Project implementation.	PIT
3.2	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b></p> <p>Incorporate resource efficiency and pollution prevention and management measures in the ESMP to be prepared under action 1.2 above.</p>	Same timeframe as for the adoption and implementation of the ESMP.	PIT
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<p><b>TRAFFIC AND ROAD SAFETY</b></p> <p>Incorporate measures to manage traffic and road safety risks as required in the ESMP to be prepared under action 1.2 above.</p>	Same timeframe as for the adoption and implementation of the ESMP.	PIT
4.2	<p><b>COMMUNITY HEALTH AND SAFETY</b></p> <p>Assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, behavior of Project workers, response to emergency situations, security risks, and include mitigation measures in the ESMPs to be prepared in accordance with the U-ESMF and consistent with ESS4.</p>	Same timeframe as for the adoption and implementation of the ESMP.	PIT

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
4.3	<b>SEA AND SH RISKS</b> Incorporate and implement a SEA/SH risk mitigation measures as part of the ESMP, to assess and manage the risks of SEA and SH.	Same timeframe as for the adoption and implementation of the ESMP, and thereafter implement the measures throughout Project implementation.	PIT
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
	This standard is not relevant.		
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
	This standard is not relevant.		
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
	This standard is not relevant.		
<b>ESS 8: CULTURAL HERITAGE</b>			
	This standard is not relevant.		
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	This standard is not relevant.		
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT FRAMEWORK PREPARATION AND IMPLEMENTATION</b> Adopt and implement a Stakeholder Engagement Framework (SEF) for the Project prior to Appraisal, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	The SEF was disclosed on 16 March 2023 and therefore implement the SEF throughout Project implementation. .	PIT
10.2	<b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b> Adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10 and the Stakeholder Engagement Framework, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	Prepare, disclose, consult upon, adopt the SEP prior to the start of Project activities, consistent with the SEF and thereafter implement the SEP throughout Project implementation.	PIT

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
10.3	<p><b>PROJECT GRIEVANCE MECHANISM</b></p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10 and the SEF.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	Establish the grievance mechanism prior to the start of Project activities, and thereafter maintain and operate the mechanism throughout Project implementation.	PIT
<b>CAPACITY SUPPORT</b>			
CS1	<p>Training for PIT staff, Project workers, including census enumerators on:</p> <ul style="list-style-type: none"> <li>• Stakeholder mapping and engagement</li> <li>• Environmental and social assessment and risk management</li> <li>• Emergency preparedness and response</li> <li>• Community health and safety, including SEA/SH and security risk management</li> <li>• Waste Management and Resource Efficiency</li> <li>• Data privacy and access to information</li> <li>• Security risks and road safety</li> <li>• Labor risk management</li> </ul>	Starting four (4) months after Project Effective Date and thereafter implement throughout Project Implementation.	PIT with the support of the World Bank
CS2	Training for Project workers on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.	Starting four (4) months after Project Effective Date and thereafter implement throughout Project Implementation.	PIT with the support of World Bank